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Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 9, 2006

William R. Allen  
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9 March 2006  
Date

Applicants: Toshiharu Furukawa et al.  
Serial No.: 10/777,576  
Filed: February 12, 2004  
Art Unit: 2811  
Examiner: Loke, Steven Ho Yin  
Confirmation No.: 6152  
Title: VERTICAL CARBON NANOTUBE FIELD EFFECT TRANSISTOR ARRAYS  
Atty Docket No.: IBM-272

Cincinnati, Ohio 45202

March 9, 2006

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R. §§1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application.

Subject to the Office's waiver of providing copies of U.S. patents and published applications for applications filed after June 30, 2003, only foreign references and articles are provided, since this application was filed on February 12, 2004, and therefore, subsequent to June 30, 2003.

The Examiner is urged to consider all of the cited documents and to make an independent evaluation of the teachings and materiality of each.

Applicants believe that no fee is due in connection this communication. However, if this is not the case, the Commissioner is authorized to charge deposit account 23-3000 for any required fees.

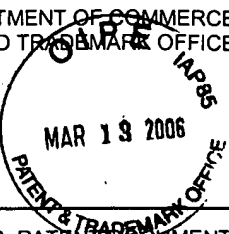
Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

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SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. IBM/272		SERIAL NO. 10/777,576	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)				APPLICANT Toshiharu Furukawa et al.			
(37 CFR 1.98(b))				FILING DATE February 12, 2004		GROUP 2811	



U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A	5	7	9	6	5	7	3	8/18/1998	Kotecki et al.	361	321.5	5/29/1997
	A.B	6	8	9	1	2	2	7	5/10/2005	Appenzeller et al.	257	346	
	A.C												
	A.D												
	A.E												
	A.F												
	A.G												
	A.H												
	A.I												
	A.J												
	A.K												

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)	
	A.L	EP 1 361 608	11/12/2003	EP	H01L 21/768	H01L 23/532	N/A
	A.M						
	A.N						
	A.O						
	A.P						
	A.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)		
	A.R	Appenzeller et al., <u>United States Published Patent Application No. US20050056268</u> , Publication Date: 3/17/2005
	A.S	Choi et al., <u>United States Published Patent Application No. US 2003/0230760</u> , Publication Date: 12/18/2003
	A.T	V.N. Popov, <u>Carbon Nanotubes: Properties and Application</u> , Materials Science and Engineering, R. Vol. R43, No. 3, pp. 61-102 (1/15/2004) (Summary only)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.